

BEST AVAILABLE COPY

1208945

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

*November 20, 2004*

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM  
THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT  
APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE.

APPLICATION NUMBER: 60/479,397  
FILING DATE: *June 18, 2003*  
RELATED PCT APPLICATION NUMBER: PCT/US04/19003

Certified by



Jon W Dudas

Acting Under Secretary of Commerce  
for Intellectual Property  
and Acting Director of the U.S.  
Patent and Trademark Office

Please type a plus sign (+) inside this box →

06-19-03

Express Mail Label: EV307982641US

50479397 A PRODU

PTO/SB/16 (8-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

## INVENTOR(S)

Given Name (first and middle (if any))	Family Name or Surname	Residence (City and either State or Foreign Country)
Weixiao Ivonele Matthew Thomas	Liu Markman Mayer	Indianapolis, Indiana Carmel, Indiana Indianapolis, Indiana

☐ Additional inventors are being named on the \_\_\_\_\_ separately numbered sheets attached hereto

## TITLE OF THE INVENTION (280 characters max)

METHOD AND APPARATUS PROCESSING NULL PACKETS IN A DIGITAL MEDIA RECEIVER

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

☐ Customer Number

OR

☒ Firm or  
Individual Name

Type Customer Number here

Place Customer Number  
Bar Code Label here

Joseph S. Tripoli - Thomson Licensing Inc.

Address

PATENT OPERATIONS

Address

P. O. BOX 5312

City

PRINCETON

State

NJ

ZIP

08543-5312

Country

USA

Telephone

609-734-6834

Fax

609-734-6888

## ENCLOSED APPLICATION PARTS (check all that apply)

☒ Specification Number of Pages

4

☐ CD(s), Number

☐ Drawing(s) Number of Sheets

0

☐ Other (specify)

☐ Application Data Sheet. See 37 CFR 1.76

## METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check or money order is enclosed to cover the filing fees

☒ The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:

07-0832

FILING FEE  
AMOUNT (\$)

160

☐ Payment by credit card. Form PTO-2038 is attached.

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No.

☐ Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

Respectfully submitted

SIGNATURE

TYPED or PRINTED NAME GUY H. ERIKSEN

TELEPHONE (609) 734-6807

Date

06/18/03

REGISTRATION NO.  
(if appropriate)

41,736

Docket Number:

PU030168

## USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

## METHOD AND APPARATUS PROCESSING NULL PACKETS IN A DIGITAL MEDIA RECEIVER

The SCTE DVS-031 and ITU-T J.83B standards, which are nearly identical,  
 5 describe a digital transmission system for cable distribution of video, sound and  
 data services. The data format input to the physical layer (channel coding and  
 modulation) is assumed to be MPEG-2 transport. However, the method used for  
 MPEG-2 synchronization is de-coupled from the Forward Error Correction (FEC)  
 synchronization, unlike many other digital transmission standards. This feature  
 10 was intended to introduce the flexibility, for example, to enable the system to carry  
 Asynchronous Transfer Mode (ATM) packets easily without interfering with ATM  
 synchronization. However, an unintended aspect of this feature is the increased  
 probability of false locks in the MPEG-2 synchronization detector. In particular,  
 when the data is quasi-periodic as for example, when there is a substantial  
 15 number of null packets in the data stream, the MPEG-2 synchronization detector  
 can falsely lock to one of several wrong positions in the packet and send invalid  
 packets to the transport block even when the FEC is perfectly locked and delivers  
 an error free data stream. This invention detects these false lock conditions by  
 internally detecting the presence of null packets in the data stream and instructing  
 20 the sync detector to lock to the correct sync byte position found by the null packet  
 detector.

In accordance with the principles of the present invention, the design of an  
 MPEG-2 synchronization detector in a digital cable receiver compliant with the  
 SCTE DVS-031 and ITU-T J.83B standards, like the U.S. digital cable system. In  
 25 broadcasting, the data stream may contain repetitive null packets, which may  
 cause the MPEG synchronization detector to lock to the wrong synchronization  
 position. The current invention solves the MPEG synchronization detection  
 problem when null packets exist in the data stream.

The instant invention is described in the context of an MPEG-2  
 30 synchronization detector in a digital cable receiver compliant with the SCTE DVS-  
 031 and ITU-T J.83B standards, like the U.S. digital cable system. Although

described in such a context, the invention should not be construed as being so limited and applies equally to all receiving devices (in addition to cable receivers) in which the problem addressed by the present invention may be applied.

The SCTE DVS-031 and ITU-T J.83B standards describe a digital  
5 transmission system for cable distribution of video, sound and data services. In particular, the SCTE DVS-031 describes the adopted standard for digital cable transmission in the U.S. In both standards, the data format input to the physical layer (channel coding and modulation) is assumed to be MPEG-2 transport. The  
10 MPEG-2 transport layer is comprised of data packets having 188 bytes, with one byte for synchronization purposes (called sync byte and having a constant value of 47Hex), three bytes of header containing service identification, scrambling and control information, followed by 184 bytes of MPEG-2 or auxiliary data.

In the physical layer, the MPEG transport framing is the outermost layer of processing. This processing block receives an MPEG-2 transport data stream  
15 consisting of a continuous stream of fixed length packets that are transmitted in serial fashion, most significant bit (MSB) first. It then locks to the sync byte and delivers MPEG packet synchronization to the following receiver blocks, including the transport block. The output of this block may include an output clock, the data stream, in serial or parallel format, a sync signal identifying the position of the  
20 sync byte in the data stream, a valid signal identifying when data is present at the output data stream and an error signal identifying whether the packet is considered invalid (uncorrectable errors) or error free.

Unlike many other digital transmission standards, the method used for MPEG-2 synchronization in the digital cable standards mentioned above is de-  
25 coupled from the Forward Error Correction (FEC) synchronization. First, the MPEG-2 packet does not contain an integer number of FEC frames, or even Reed-Solomon (RS) codewords. Hence, the MPEG-2 packets and the FEC frames, or the MPEG-2 packets and RS codewords are asynchronous with respect to each other. Second, the sync byte was replaced at the transmission  
30 site by a parity checksum that is a coset of an FIR parity check linear block code. Hence, the MPEG-2 transport framing block needs to decode this parity check

block code in order to recover the sync byte and then lock to it.

This MPEG-2 synchronization de-coupling feature was intended to introduce the flexibility, for example, to enable the system to carry Asynchronous Transfer Mode (ATM) packets easily without interfering with ATM synchronization.

5 However, an unintended consequence of this feature is the increased probability of false locks in the MPEG-2 synchronization detector within the MPEG-2 transport framing block. This happens because the parity check block code is not very powerful and its decoder generally indicates several places in a packet where a possible sync byte could be found, when only one is the correct one. This  
10 occurs even when the FEC is perfectly locked and delivers an error free data stream. Luckily, the data stream does not generally present a periodic characteristic and after being processed by the parity check block decoder, different packets will tend to only have the correct sync positions in common. However, in the case of a data stream with a considerable number of null packets,  
15 for example, this problem becomes evident and the lock detector may lock to one of the wrong positions identified by the parity check block decoder. As long as there are enough null packets multiplexed in the data stream on a regular basis, this may be enough to keep the lock detector falsely locked for a long time. After a lock detection, the MPEG-2 sync detector inserts the sync byte in the position  
20 identified by the parity check block decoder, creates the sync signal, the valid signal and error signal and sends the data stream to the transport layer. In the case of a false lock, the transport layer cannot easily identify a wrong packet, since it is receiving 188 data bytes, with a first byte being the sync byte, a valid signal in line with the bytes, and error signal indicating an error free packet.

25 This invention detects these false lock conditions by internally detecting the presence of null packets and instructing the sync detector to lock to the correct sync byte position found by the null packet detector. The invention consists of three major blocks: the normal checksum based synchronization detector, null packet detector, and decision logic block, as explained below:

30 a) The checksum based synchronization detector implements the syndrome generator circuitry and supporting logic for the MPEG-2

synchronization decoder proposed in the SCTE DVS-031 and ITU-T J.83B standards. When the input MPEG-2 data stream is average data/video/audio, which are random in nature, the circuit should produce the correct MPEG-2 synchronization position.

- 5        b)        The null packet detector takes advantage of the characteristics of null packets. Null packets usually have a predetermined preamble followed by zeros. The detector first detects the preamble and, upon the detection of the preamble, begins to check if the subsequent data bits are all zeros. If the preamble is found and all subsequent data bits are zeros, a null
- 10       packet is considered found. In the actual implementation, to increase the robustness of the detection against noise and interference, the number of ones is counted in the data area to compare against a programmable threshold. Upon the detection of the preamble and subsequent number of ones within the threshold, the null packet detector will generate a detect
- 15       signal. To increase detection reliability, a state machine is built to let the detection circuit have a hysteretic characteristic.
- c)        The logic of the decision block is the following: When the null packet detector detects no null packet, MPEG synchronization is generated by the checksum based synchronization detector. Otherwise, when null packets
- 20       are detected, the MPEG synchronization from the null packet detector will be used as the MPEG synchronization signal.

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/019003

International filing date: 16 June 2004 (16.06.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/479,397  
Filing date: 18 June 2003 (18.06.2003)

Date of receipt at the International Bureau: 24 November 2004 (24.11.2004)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse